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An Event Related Potential Study of Inhibitory and Attentional Control in Williams Syndrome Adults

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Abstract

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The primary aim of the current study was to employ event-related potentials (ERPs) methodology to disentangle the mechanisms related to inhibitory control in older adults with Williams syndrome (WS). Eleven older adults with WS (mean age 42), 16 typically developing adults (mean age 42) and 13 typically developing children (mean age 12) participated in the study. ERPs were recorded during a three-stimulus visual oddball task, during which participants were required to make a response to a rare target stimulus embedded in a train of frequent non-target stimuli. A task-irrelevant infrequent stimulus was also present at randomised intervals during the session. The P3a latency data response related to task-irrelevant stimulus processing was delayed in WS. In addition, the early perceptual N2 amplitude was attenuated. These data are indicative of compromised early monitoring of perceptual input, accompanied by appropriate orientation of responses to task-irrelevant stimuli. However, the P3a delay suggests inefficient evaluation of the task-irrelevant stimuli. These data are discussed in terms of deficits in the disengagement of attentional processes, and the regulation of monitoring processes required for successful inhibition.

Introduction

Williams syndrome (WS) is a neurodevelopmental disorder with an estimated prevalence of 1:20,000 (1), caused by a micro-deletion of approximately 28 genes on chromosome 7 (7q11.23) (2). Behavioural and cognitive outcomes have been linked to a number of candidate genes associated with neuronal development and expression (e.g. LIMK1, CYLN2, GTF21; see (3) for a review). Although there is significant heterogeneity of cognitive function (4), individuals with WS tend to function at the level of mild-to-moderate intellectual difficulty (5). The disorder has attracted the attention of cognitive scientists primarily due to the distinctive cognitive profile. Indeed, an abundance of literature has documented relatively more impaired visuo-spatial skills (e.g. (6, 7)) compared with relatively less impaired verbal processing (8), though always against a background of mild-moderate intellectual difficulty. Although the heterogeneity of cognitive functioning is mirrored in the vast behavioural variability seen in the disorder (9), many individuals with WS (both children and adults) tend to be highly-social, exhibiting a strong desire to converse with others, and an eagerness to make eye contact with, and to indiscriminately approach strangers (10, 11).

Many facets of the behavioural and social phenotype in WS, such as social disinhibition (12, 13), their lack of stranger danger awareness (14, 15), and propensity for prolonged face-gazing (16) are associated with atypicalities in frontally controlled executive function (EF) processes. Due to the heterogeneity of executive processing mechanisms subserved by the frontal lobes, there are discrepancies in the literature with regard to the EF functions affected in WS (17, 18), and vast individual differences are evident. However, research reporting deficits in inhibition (19-22), visual and auditory sustained attention (19, 22, 23), visual selective attention (24), and attentional set-shifting (25) prove promising in elucidating specific executive processes impaired and how these may explain the behavioural and social characteristics associated with the syndrome.

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69 Research which adopts a *Go / No-Go* paradigm is particularly informative when examining
70 attentional and inhibitory profiles in both typical developing individuals and those with
71 developmental disorders such as WS. In a typical *Go / No-Go* task, participants are required to make
72 a motor response (*Go*) to a frequently presented stimulus and withhold a response (*No-Go*) to an
73 infrequent target stimulus. During the task, participants become habituated to the frequent stimuli
74 and relatively automatic responding begins to occur. Consequently, withholding a response to *No-Go*
75 trials becomes problematic. Individual differences in the measurement of withholding a response to
76 the target stimuli have been shown to be related to inhibitory ability and impaired frontal lobe
77 function (see [26] for a meta-analysis). A recent study employing the Sustained Attention Response
78 Task (SART, a computerised *Go / No-Go* paradigm) is particularly informative here [22]. Greer and
79 colleagues [22] considered multiple measures of executive control and inhibition in adults with WS
80 (e.g. reaction time (RT) after an error, false alarms (FAs), overall RT variability) and concluded such
81 indices as having great value in evaluating everyday cognitive challenges. Increased FAs to the
82 infrequent target stimulus were indicative of impaired functioning of frontal brain regions sub-
83 serving inhibitory control, as previously reported in the syndrome ([27]; see also the Attention-
84 Deficit Hyperactivity Disorder (ADHD) / WS work on inhibition [28]). Interestingly, and much like
85 other populations with frontal executive control deficits such as traumatic brain injury (TBI) [29],
86 post error slowing was also compromised in the WS group. This failure to re-engage attention after
87 an error has elsewhere been linked with impaired cognitive abilities and spatial cognition deficits in
88 WS [30]. Overall, Greer et al. [22] promote the use of *Go / No-Go* paradigms to disentangle the
89 numerous executive processes related to inhibition and attentional control which are proposed to
90 be problematic in the disorder.

91

92

93 Converging evidence from studies adopting *Go / No-Go* paradigms and neuroimaging techniques
94 such as functional magnetic resonance imaging (fMRI) and event-related potentials (ERP)

methodologies have enabled researchers to identify the spatial / functional mapping and temporal dynamics of fronto-cortical networks recruited during attentional and inhibitory processes in both typically and atypically developing individuals. Particularly relevant to the current investigation, Mobbs and colleagues ([27]) compared the fMRI profile of individuals with WS and typically developing individuals matched for chronological age and gender. Despite comparable behavioural performance (accuracy but not RT) between groups, compared to the typical controls the WS group reported dis-engagement of the frontal-striatal networks of the brain which contribute to the complex pattern of social and behavioural deficits associated with WS ([14, 31]), and also increased activity in the posterior cingulate cortex on presentation of *No-Go* trials. This demonstrates that, irrespective of behavioural similarities, these individuals with WS reported a) hypoactivity in the fronto-cortical and subcortical structures associated with behavioural inhibition, and b) hyperactivity in posterior regions which, in ADHD, has been linked with a reduced ability to reallocate attention after an error ([32]) and which was a main finding of Greer et al. ([22]). Research employing ERP methodology in WS is scarce; however there is evidence of atypical neural activity in frontal regions in response to social stimuli (faces; ([33])) and which may be linked with the social disinhibition associated with the syndrome (e.g. ([14])). In contrast, atypically enhanced frontal ERP activity in response to non-social stimuli (houses) compared with social stimuli (faces) is reported, and contrary to the pattern hypothesised ([34]). The dearth of research focusing on the ERP correlates which subserves the behavioural and cognitive profile of individuals with WS makes interpretation of these conflicting findings more challenging.

Here we aim to contribute to the theoretical understanding of the adult WS cognitive profile by examining attentional and inhibitory control mechanisms in the disorder using the temporal precision of ERP methodology and a three-stimulus Oddball paradigm (Oddball; ([35])). In contrast to the two-stimulus *Go / No-Go* methodology described above, the Oddball paradigm requires participants to respond to an infrequent target stimulus while withholding their response to two

distractors; a frequent non-target stimulus and an infrequent task-irrelevant novel stimulus. It measures automatic shifts in attention to task-irrelevant information, the allocation of cognitive resources to task-relevant stimuli, and has been proposed to be associated with context updating in working memory (see [\[36\]](#) for a review). Notably, the ERP response to the task-irrelevant novel stimulus is thought to reflect the processes engaged in order to successfully inhibit task-irrelevant information. Topographical distributions to infrequent task-irrelevant novel stimuli can be observed over bilateral frontal and superior temporal regions, and which have been related to the inhibition of motor responses in a cognitive task [\[37\]](#). Thus, the inclusion of an infrequent task-irrelevant novel stimulus in the current Oddball task enables us to observe the cortico-electrical activity evoked when unexpected behavioural inhibitory control is required [\[38\]](#). Of note, whilst it is important to dichotomise between one infrequent task-irrelevant 'deviant' stimulus and multiple unrepeated infrequent task-irrelevant 'novel' stimuli (see [\[39\]](#) for a discussion), both require the inhibition of motor action. We have previously demonstrated that a single infrequent task-irrelevant stimulus repeated throughout the task elicits the fronto-central distribution expected during successful response inhibition in typically developing adults [\[40\]](#), thus we will refer to the infrequent task-irrelevant stimulus as 'novel' here, in order to simplify description of the task and results.

Three main ERP components are elicited during the completion of the task, the N2, P3a and P3b. The N2 is a negative going waveform which peaks between ~180-350 ms post stimulus, and is associated with the early recognition and parsing of visual information in the environment [\[41\]](#). Daffner and colleagues [\[42\]](#) have been influential in characterising the functional significance of the N2 ERP component. For instance, the N2 evoked when no behavioural response is required (i.e. novel stimulus), typically reports a fronto-central scalp distribution and is elicited typically without conscious awareness. In contrast, the N2 evoked in response to the target stimulus represents the degree of attention that is needed for processing stimuli context and is typically observed centro-parietally (see [\[43\]](#) for a detailed review on the classification and function of the N2 component).

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147 Elsewhere, the importance of top-down processes and visual selective attention in the generation of
 148 the N2 has been emphasised ([44]). The P3a and P3b are subcomponents of the positive going P300
 149 waveform, and have different functional correlates ([36]). The P300 typically peaks between ~250–
 150 500ms post-stimulus, with the P3a reporting a fronto-central distribution, and the P3b a centro-
 151 parietal distribution ([45]). The P3a is associated with automatic responses during the engagement
 152 of attention, inhibition, and orienting resources to items in the environment. As such, it typically
 153 presents relatively larger frontal peak amplitude and relatively short peak latency duration. The P3a
 154 has also been associated with dopaminergic function and attentional control processes ([46, 47]).
 155 The P3b is associated with the controlled processes required during working memory storage
 156 updating, and relative to the P3a, typically reports a smaller peak amplitude and later peak latency
 157 ([48]), reflecting the greater amounts of attentional resources required for task performance (see
 158 ~~(36)~~[36] for a detailed review of the classification and function of the P300 component).
 159
 160 The Oddball paradigm has been used widely in research investigating neural functioning of TD
 161 individuals ([40]), clinical and subclinical populations (e.g. schizophrenia ~~(49)~~[49], eating disorders
 162 ~~(50)~~[50]), and developmental disorders (e.g. ASD: ~~(54)~~[54]). To date, the Oddball task as described
 163 here has not been employed in research with individuals with WS, though there is evidence for
 164 atypical activity in WS in components elicited by the Oddball task ~~(33, 52, 53)~~[33, 52, 53]. However,
 165 one known study is informative as to the profile of the P3a and P3b that may be observed in WS
 166 during an Oddball task. Key and Dykens ~~(54)~~[54] employed an Oddball-type paradigm to investigate
 167 global / local stimulus discrimination during a Navon style visuo-spatial task in a group of adults with
 168 WS and CA controls. Relative to a standard stimulus, the WS group reported shorter P3a latency and
 169 greater P3a amplitude in response to the global stimulus, but no difference in P3a amplitude or
 170 latency in response to the local stimulus, suggesting insufficient allocation of attentional resources
 171 to local features. In contrast, while the CA group reported increased P3b latencies in response to the
 172 local targets, the WS group reported no P3b discrimination between conditions, indicative of

173 impaired effortful processing when greater attentional resources are required, as would be the case
174 during local stimulus discrimination.

175 |
176 The aim of the current study is to characterise the neural signature of adults with WS during a visual
177 three-stimulus Oddball task, and thus elucidate the neural mechanisms that may underpin the
178 deficient attentional and inhibitory profiles associated with the syndrome. Two comparison groups
179 are included in the study; a cohort of typically developing adults matched for chronological age (CA),
180 and a group of typically developing children matched for verbal mental ability (MA). Typically
181 developing younger children display an age-associated ERP profile which reflects their ongoing
182 neuronal maturational processes ~~(55, 56)~~[55, 56]. Thus, we do not predict an ERP profile in adults
183 with WS that is indicative of verbal mental age; however the MA group are included in the study for
184 completeness. Based on the previous ERP research with WS ~~(54)~~, ADHD ~~(57)~~, autism spectrum
185 disorder (ASD) ~~(58)~~[58], and recent behavioural findings ~~(22)~~, we predict a profile indicative of
186 atypical attentional and inhibitory processing. However, due to the novelty of the study and because
187 we cannot be sure how the deficits will manifest we ask a number of questions. Compared to the CA
188 group will adults with WS demonstrate: 1) atypical earlier attentional processing indexed by
189 attenuated N2 peak amplitude and / or latency differences in response to the task-irrelevant novel
190 and target stimuli? 2) increased P3a latency reflecting a delay in the orienting to novelty response
191 and or amplitude difference, and 3) increased P3b latency and amplitude difference indicative of
192 working memory and storage updating functioning.

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197 **Method**

198 **Participants**

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199 Three groups participated; adults with Williams Syndrome (WS), and two comparison groups (see
200 [\[59\]](#) for a discussion of matching procedures) consisting of a group of typically developing adults
201 matched for chronologically age and gender (CA), and typically developing children matched for
202 verbal mental ability (MA). Eleven adults with WS (7 males, aged 37yrs 2mths - 49yrs 3mths, mean
203 age 42yrs 7mths, SD 48mths) were recruited via the Williams Syndrome Foundation. Nine had their
204 genetic diagnosis confirmed with fluorescence in situ hybridisation (*FISH*) testing, whilst the
205 remainder had been diagnosed based on their clinical phenotype prior to the availability of genetic
206 diagnosis. Seven of the WS group lived at home with their parents / or with carers in sheltered
207 accommodation, and four lived independently. Six were in some form of paid employment /
208 volunteer work while the rest attended daycare centres or receive state-proved care assistance.

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210 The CA group consisted of sixteen typically developing adults (9 males, aged 36yrs 10mths - 49yrs
211 2mths, mean age 42yrs 10mths, SD 50mths) matched for chronological age. The MA group
212 comprised of thirteen typically developing children (7 males, aged 8yrs 7mths -15yrs 7mths, mean
213 age 11yrs 2mths, SD 25mths) and who were matched to the WS group for receptive vocabulary using
214 the raw scores from the British Picture Vocabulary Scale (BPVS-II) [\[60\]](#). Mean raw BPVS scores
215 were WS 116.82 (*SD* 10.36), MA 115.8 (*SD* 14.16) ($t(22) = 1.148, p = .884$). Any participants in both the
216 CA and MA groups reporting a developmental disorder diagnosis (e.g. ADHD and ASD) were excluded
217 from the study. Written informed consent was provided by all participants in the WS, CA, and, MA
218 groups, and by parents / carers of both the WS and MA groups.

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219
220 Ten of the WS group (7 males, mean age 41yrs 6mths, SD 39mths), thirteen of the CA-matched
221 adults (4 males, mean age 42yrs 3mths, SD 51mths), and twelve of the MA-matched children (6
222 males, mean age 11yrs 3mths, SD 25mths) were included in the final analysis. Data from one WS
223 participant, three CA participants and one MA participant were excluded due to high levels of EEG
224 artefacts which compromised further analysis.

225

226 Handedness from all participants was assessed using the Edinburgh Handedness Inventory (EHI)

227 [\[61\]](#). Four of the WS group were left-handed, while all participants in the CA and MA groups were

228 right-handed. The participants in the two comparison groups received £6.00 for their participation.

229 This study received ethical clearance from the local ethics committee.

230

231 **Materials and Procedure**

232 The three-stimulus Oddball task was programmed and presented using E-Prime presentation

233 software on a Toshiba laptop with 14in. monitor. The task comprises of frequent, novel, and target

234 stimuli. The target stimulus (red circle, area = 12.6 cm²) appeared on 13% of trials, the standard

235 frequent stimulus (green square, area = 16 cm²) appeared on 74% of trials, and the novel stimulus

236 (blue square, area = 256 cm²) appeared on 13% of trials. Participants completed a 10-trial practice

237 block. The testing phase consisted of 2 blocks of 150 trials each. Stimuli remained on screen for

238 250ms, and were followed by an inter-stimulus interval, randomised between 830ms and 930ms.

239 Participants were instructed to press the space bar in response to the target stimulus and ignore all

240 other stimuli (see [\[45\]](#) for further discussion of the Oddball task and in particular stimulus

241 parameters that affect the generation of the ERP components). The nature of the Oddball task

242 mimicked previous research which has successfully generated the ERP components of interest [\[40,](#)

243 [50\]](#).

244

245 The testing sessions with the WS group took place in their homes in a quiet room with electrical

246 noise conditions controlled to mimic laboratory conditions ~~(62, 63)~~[\[62, 63\]](#). A parent / carer was

247 either present at the session or nearby. The comparison groups' testing sessions took place in the

248 Psychology Department at the host University or in the participants own homes, with the same

249 control for electrical noise as previously described. The experimenter outlined the experimental

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250 procedure, and invited each participant to read and sign an informed consent form and complete
251 the EHI.

252

253 **EEG recording**

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254 The EEG was recorded from 32 channels using an electrode cap (Biosemi, Amsterdam, The
255 Netherlands). Electrode placement was based on the extended international 10-20 system [64].
256 The montage included 4 midline sites (FZ, CZ, PZ, OZ), 14 sites over the left hemisphere (Fp1, AF3,
257 F3, F7, Fc1, Fc5, C3, T7, Cp1, Cp5, P3, P7, Po3, O1), and 14 sites over the right hemisphere (Fp2, Af4,
258 F4, F8, Fc2, Fc6, C4, T8, Cp2, Cp6, P4, P8, Po4, O2). Additional electrodes were placed on the left and
259 right mastoids for referencing purposes. Electrodes were placed above and below the left eye to
260 record the vertical electrooculogram to assess eye blink movement. Horizontal eye movements were
261 removed manually during ERP processing.

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265 **ERP processing**

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266 All signals were digitized at a rate of 2048 Hz, with a recording epoch of 1,000ms (-200 to +800ms).
267 High-pass filter settings were 0.05 – 45Hz, and baseline corrected to -200 μ V. Automatic eye blink
268 correction, artefact rejection (values outside the range of -100 μ V to +100 μ V), and ERP averaging
269 were carried out off-line using Neuroscan SCAN 4.5 software (Compumedics, El Paso, TX). After eye
270 blink correction and removal of trials with artefacts, the remaining trials were used in the analysis of
271 each group's responses, with a minimum of sixteen trials per condition / participant required for
272 inclusion in the final data analysis. There were no differences in the trials contributing to the ERPs
273 for the standard (WS=149, MA=162, CA=120; $p>0.05$), target (WS=27, MA=30, CA=25; $p>0.05$) and
274 novel stimuli (WS=28, MA=27, CA=23; $p>0.05$). The components of interest were N2, P3a, and P3b,
275 detected in the time frames 200-325ms, 310-450ms, and 380-600ms respectively, based on visual

276 inspection of the individual waveforms, employing the automatic peak detection procedures in
277 Neuroscan in the aforementioned time windows. Employing a targeted approach, these data were
278 obtained from the midline sites (FZ, CZ, and PZ) and where peaks were maximal, based on visual
279 inspection of the grand average ERPs and previous research employing the Oddball task (40, 65-
280 67).

282 Data analysis

283 The peak amplitude and latencies for the ERP components of interest were investigated, with all
284 analyses conducted using SPSS version 21. The between-subjects factors were group (WS, CA, MA),
285 and the within-subjects factors were electrode site (FZ, CZ, PZ). Cohens d (bias corrected; (48)) are
286 reported for WS group differences as a measure of effect size.

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289 Results

290 ERP data were analysed with a 3 x 3 analysis of variance (ANOVA), with group (WS, CA, MA) as the
291 between measures factor, and site (FZ, CZ, PZ) as the within measures factor. Follow-up / planned
292 comparisons of group and site differences were investigated using t-tests. Results upheld Mauchly's
293 test of sphericity unless stated. Where this test was violated, a Greenhouse-Geisser correction was
294 applied to the results. ERP waveforms in response to the novel and target stimuli are presented in
295 Figures 1 and 2.

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297 N2 results

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298 N2 Novel

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The N2 (novel) amplitude and latency data were calculated from the mean of the raw peak amplitude and latency scores in response to the novel stimulus. Descriptive statistics for peak N2 amplitude and peak N2 latency to the novel stimuli are presented in Table 1.

302

Table 1. Mean peak novel N2 amplitude (μV) and mean peak latency (ms) (SD in parentheses) for the WS, CA, and MA groups at FZ, CZ, & PZ electrode sites

	Amplitude			Latency		
	WS	CA	MA	WS	CA	MA
FZ	-3.47	-6.28	-8.93	251.05	273.32	260.83
CZ	<i>(2.98)</i>	<i>(3.23)</i>	<i>(6.35)</i>	<i>(42.69)</i>	<i>(30.67)</i>	<i>(42.17)</i>
	<i>(2.98)</i>	<i>(3.23)</i>	<i>(6.35)</i>	<i>(42.69)</i>	<i>(30.67)</i>	<i>(42.17)</i>
	<i>(4.91)</i>	<i>(10.79)</i>	<i>(2.16)</i>	<i>(45.68)</i>	<i>(41.49)</i>	<i>(48.61)</i>
	<i>(6.44)</i>	<i>(7.19)</i>	<i>(7.59)</i>			
CZPZ	<i>(4.91)</i>	<i>(10.79)</i>	<i>(2.16)</i>	<i>(42.69)</i>	<i>(30.67)</i>	<i>(42.17)</i>
	<i>(5.42)</i>	<i>(5.95)</i>	<i>(3.14)</i>	<i>(21.14)</i>	<i>(43.62)</i>	<i>(17.25)</i>
	<i>(9.52)</i>	<i>(4.64)</i>	<i>(9.41)</i>			
	<i>(6.44)</i>	<i>(7.19)</i>	<i>(7.59)</i>	<i>(45.68)</i>	<i>(41.49)</i>	<i>(48.61)</i>
PZ	<i>(5.42)</i>	<i>(5.95)</i>	<i>(3.14)</i>	<i>(21.14)</i>	<i>(43.62)</i>	<i>(17.25)</i>
	<i>(9.52)</i>	<i>(4.64)</i>	<i>(9.41)</i>	<i>(21.14)</i>	<i>(43.62)</i>	<i>(17.25)</i>

305

306

N2 Amplitude (novel)

The ANOVA revealed no main effects ($p > 0.05$). However, there was a significant site \times group interaction, $F(4, 64) = 6.037, p < .001$ on N2 amplitude to the novel stimulus. In line with the P3a analysis reported below, a more focused approach was warranted. T-tests identified significantly lower novel peak N2 amplitude at FZ in the WS group compared with both the CA ($t(21) = 2.138, p < 0.05, d = 0.87$) and MA ($t(20) = -2.492, p < 0.05, d = 1.02$) groups, but not between the CA/MA groups ($t(23) = 1.332, p > 0.05$). Greater novel peak N2 amplitude in the CA group at CZ approached significance compared with the WS group ($t(21) = -2.031, p = .055, d = 0.82$), and was significantly greater than the MA group ($t(23) = -2.920, p < 0.01; d = 1.22$). No novel peak N2 amplitude differences were observed at CZ between the WS/MA groups ($t(20) = -.906, p > 0.05$) and at PZ between the WS, CA, and MA groups (all $p > 0.05$).

318

319 | In WS group there were no difference in novel peak N2 amplitude between sites (FZ/CZ, CZ/PZ, and
320 | FZ/PZ; all $p>0.05$). Novel peak N2 amplitude in the CA group was significantly greater at CZ
321 | compared with FZ ($t(12) = 2.762$, $p<0.05$; $d=-1.60$), and with PZ ($t(12) = -3.252$, $p<0.01$; $d=1.89$), but
322 | not between FZ/PZ ($t(12) = -.285$, $p>0.05$). In contrast, the MA group exhibited the opposite pattern
323 | with a significant decrease in novel peak N2 amplitude from FZ to CZ ($t(11) = -3.497$, $p<0.01$ $d=2.12$)
324 | and FZ to PZ ($t(11) = -2.491$, $p<0.05$; $d=-1.50$), and no difference between CZ/PZ ($t(11) = .531$,
325 | $p>0.05$).

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327 | In summary, the WS group exhibited significantly attenuated peak N2 amplitude at FZ/CZ compared
328 | with the control groups.

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330 | **N2 Latency (Novel)**

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331 | The ANOVA revealed no significant main effect of group [$F(2, 32) = 1.352$, $p>0.05$], or its interaction
332 | with site [$F(4, 64) = .504$, $p>0.05$], whereas a significant main effect of site, [$F(2, 64) = 12.015$,
333 | $p<0.001$], was observed. The MA group demonstrated a significant decrease in peak latency from CZ
334 | to PZ ($t(11) = 2.821$, $p<0.05$; $d=-1.70$) whereas no differences were observed in the WS ($t(9) = 1.645$,
335 | $p>0.05$) and the CA groups ($t(13) = 1.375$, $p>0.05$). In all groups a significant decrease in peak latency
336 | from FZ to PZ was observed (WS, $t(9) = 2.318$, $p<0.05$, $d=-1.55$; CA, $t(13) = 2.230$, $p<0.05$, $d=-1.24$;
337 | MA, $t(11) = 3.779$, $p<0.05$, $d=-2.28$).

338

339

340 | **[INSERT FIGURE 1 ABOUT HERE]**

341 | [Fig 1. ERP waveforms in response to the novel stimulus at FZ, CZ, and PZ electrode sites](#)

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N2 Target

The N2 (target) amplitude and latency data were calculated from the mean of the raw peak amplitude and latency scores in response to the target stimulus. Descriptive statistics for the peak N2 amplitude and peak N2 latency to the target stimulus are presented in Table 2.

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Table 2. Mean peak target N2 amplitude (μV) and peak latency (ms) (SD in parentheses) for the WS, CA, and MA groups at FZ, CZ, & PZ

	Amplitude			Latency		
	WS	CA	MA	WS	CA	MA
FZ	-2.87 (2.74)	-4.79 (4.76)	-7.93 (4.94)	265.55 (29.19)	266.63 (48.43)	246.14 (52.94)
CZ	(2.74)- 4.49 (4.63)	(4.76)- 8.85 (5.97)	(4.94)- 1.99 (4.37)	(29.19)279.46 (33.02)	(48.43)289.05 (46.98)	(52.94)223.68 (43.73)
CZPZ	-4.49- 4.20 (6.41) (4.63)	-8.85- 3.27 (4.48)	-1.99- 0.26 (6.68) (4.37)	279.46264.38 (43.23)	289.05260.28 (54.63)	223.68235.40 (21.27)
PZ	-4.20 (6.41)	-3.27 (4.48)	-0.26 (6.68)	264.38 (43.23)	260.28 (54.63)	235.40 (21.27)

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N2 Amplitude (Target)

The mixed ANOVA found no significant main effect of group, [$F(2, 32) = p > 0.05$], a significant main effect of site, [$F(2, 64) = 5.382, p < 0.01$], and a significant site \times group interaction, [$F(4, 64) = 7.698, p < .001$], to target peak N2 amplitude.

Independent t-tests revealed significantly lower target peak N2 amplitude at FZ in the WS group compared with the MA group ($t(20) = 2.888, p < 0.01, d = 1.18$) but not the CA group ($t(21) = 1.135, p > 0.05$), and no difference between the CA/MA groups ($t(23) = 1.621, p > 0.05$). In contrast, the

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difference in peak amplitude observed in the CA group at CZ approached significance compared with the WS group ($t(21) = 1.907$, $p=0.07$, $d=0.78$), and was significantly greater than MA group ($t(23) = -3.254$, $p<0.05$, $d=-1.36$). There was no target peak N2 amplitude difference between the WS/MA groups at CZ ($t(20) = -1.301$, $p>0.05$) and at PZ for all three group comparisons (all $p>0.05$).

The WS group showed no difference in target peak N2 amplitude between FZ/CZ, CZ/PZ, and FZ/PZ (all $p>0.05$); whereas the CA group exhibited a significant increase in peak amplitude from FZ to CZ ($t(12) = 3.608$, $p<0.05$, $d=-2.08$), a decrease from CZ to PZ ($t(12) = -4.638$, $p=0.001$, $d=-2.68$), and no difference between FZ/PZ ($t(12) = -1.387$, $p>0.05$). In contrast, the MA group exhibited a significant decrease in target peak N2 amplitude from both FZ to CZ ($t(11) = -3.23$, $p<0.05$, $d=-1.95$) and FZ to PZ ($t(11) = -2.491$, $p<0.05$, $d=-1.50$), but not CZ/PZ ($t(11) = -.917$, $p>0.05$).

N2 Latency (Target)

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Analyses violated Mauchly's test of sphericity therefore a Greenhouse-Geisser correction has been applied. The mixed ANOVA reported a significant main effect of group, [$F(2, 32) = 5.246$, $p<0.05$], no significant main effect of site, [$F(1.662, 53.173) = .726$, $p>0.05$], and no significant site x group interaction, [$F(3.323, 53.173) = 1.500$, $p<0.05$], on target peak N2 latency.

No difference in target peak N2 latency was observed at FZ and PZ between the WS, CA, and MA groups (all $p>0.05$). There was no difference in peak latency at CZ ($t(21) = -.548$, $p>0.05$) between the WS and CA groups, but this was significantly delayed in the MA group compared with both the WS ($t(20) = 3.317$, $p<0.01$, $d=-1.48$) and CA ($t(23) = 3.593$, $p<0.01$, $d=-1.50$) groups.

Both the WS and MA groups exhibited no difference in target peak N2 latency between FZ/CZ, CZ/PZ, and FZ/PZ (all $p>0.05$). The CA group also showed no peak latency differences between FZ/CZ ($t(12) = -1.438$, $p>0.05$), and FZ/PZ ($t(12) = .298$, $p>0.05$), but demonstrated a significant decrease in peak latency from CZ to PZ ($t(12) = 2.269$, $p<0.05$, $d=-1.31$).

384 In summary, the WS group reported attenuated N2 peak amplitude in response to the target, but no
385 latency delay.

386
387

388 **P3a results**

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389 The P3a amplitude data were calculated by subtracting the peak amplitude of the frequent stimulus
390 from the peak amplitude of the novel stimulus, thus the P3a amplitude data reported is the mean
391 difference in peak amplitude between these conditions (see [4\(36\)\)](#)). The P3a latency data were
392 calculated from the mean of the raw peak latency scores in response to the novel stimulus.
393 Descriptive statistics for the mean peak P3a amplitude and mean peak P3a latency are reported in
394 Table 3.

395

396 Table 3. Mean peak P3a amplitude (μ v) and peak latency (ms) for P3a (SD in parentheses)
397 for the WS, CA, and MA groups at FZ, CZ, & PZ electrode sites

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	Amplitude			Latency		
	WS	CA	MA	WS	CA	MA
FZ	11.83	13.30	11.31	413.50	388.78	380.63
CZ	(5.31) <u>(5.31)</u>	(3.83) <u>(3.83)</u>	(13.10) <u>(13.10)</u>	(16.82) <u>(16.82)</u>	(20.39) <u>(20.39)</u>	(44.30) <u>(44.30)</u>
	13.99	14.21	17.52	418.77	396.78	393.4
	(4.75) <u>(4.75)</u>	(4.34) <u>(4.34)</u>	(17.37) <u>(17.37)</u>	(18.4) <u>(18.4)</u>	(19.1) <u>(19.1)</u>	(59.03) <u>(59.03)</u>
CZPZ	13.999.27	14.219.51	17.5214.85	418.77415.11	396.78408.46	393.4395.72
	(5.29) <u>(4.75)</u>	(4.69) <u>(4.34)</u>	(13.13) <u>(17.37)</u>	(57.65) <u>(18.4)</u>	(43.08) <u>(19.1)</u>	(61.25) <u>(59.03)</u>
PZ	9.27	9.51	14.85	415.11	408.46	395.72
	(5.29) <u>(5.29)</u>	(4.69) <u>(4.69)</u>	(13.13) <u>(13.13)</u>	(57.65) <u>(57.65)</u>	(43.08) <u>(43.08)</u>	(61.25) <u>(61.25)</u>

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400 **P3a Amplitude**

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There was no significant main effect of group on P3a amplitude, [$F(2, 32) = .325, p > 0.05$]; whereas a significant main effect of site, [$F(2, 64) = 11.53, p < .001$], and a significant site x group interaction, [$F(4, 64) = 3.69, p < 0.01$], were observed. Follow-up comparisons revealed no difference in peak amplitude between FZ and CZ for the WS ($t(9) = -1.690, p > 0.05$) and CA ($t(12) = -.923, p > 0.05$) groups, whereas a significant increase in peak amplitude from FZ to CZ ($t(11) = -2.903, p = 0.01, d = 1.75$) was observed in the MA group. In contrast, significantly greater peak amplitude at CZ compared with PZ (all $p < 0.001$) was observed in both the WS ($t(9) = 5.824, p < 0.001, d = -3.89$) and CA ($t(12) = 6.590, p < 0.001, d = -3.81$) groups, whereas no peak amplitude difference was observed between CZ and PZ in the MA group ($t(11) = 1.372, p > 0.05$). The CA group's P3a peak amplitude was significantly greater at FZ compared with PZ ($t(12) = 3.371, p < 0.01, d = -1.95$), whereas no significant difference in peak amplitude between these sites was found in the WS ($t(9) = 1.706, p > 0.05$) and MA groups ($t(11) = -1.629, p > 0.05$).

413

414 **P3a Latency**

The analyses violated Mauchly's test of sphericity; therefore a Greenhouse-Geisser correction has been applied to the P3a latency results. The ANOVA revealed no significant main effects of group [$F(2, 32) = 1.615, p > 0.05$], site [$F(1.202, 38.471) = 1.530, p > 0.05$] or site by group interaction [$F(2.404, 38.471) = .343, p > 0.05$]. However, since the P3a is typically centred on fronto-central locations (confirmed above for WS and CA groups) it was appropriate to consider a more focused analysis. T-tests identified significantly delayed peak P3a latency in the WS group than the CA group at both FZ ($t(21) = 3.103, p < 0.01, d = 1.26$) and at CZ ($t(21) = 2.781, p < 0.05, d = 1.13$). The WS group's peak latency at FZ was also significantly delayed than observed in the MA group ($t(20) = 2.210, p < 0.05, d = 0.98$), but not at CZ ($t(20) = 1.303, p > 0.05$). There was no difference in peak P3a latency between the CA and MA groups at FZ ($t(23) = .599, p > 0.05$) and CZ ($t(20) = .196, p > 0.05$), and no differences between the WS, CA, and MA groups at PZ (all $p > 0.05$). Analyses revealed a significant increase in peak P3a latency by site from FZ to CZ ($t(12) = -2.189, p < 0.05, d = -1.26$), and from FZ to PZ ($t(11) = -$

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2.186, $p < 0.05$, $d = -1.32$), but not CZ/PZ ($t(11) = -1.313$, $p > 0.05$) in the CA group. There was no difference in peak P3a latency by site (all $p \geq 0.05$) in both the WS and MA groups. In summary, a significant increase in fronto-central (FZ / CZ) latency was observed in the WS group compared to the CA group, which suggests a delay in the neural mechanism engaged in response to the novel stimulus.

432

433 [INSERT FIGURE 2 ABOUT HERE]

434 [Fig 2. ERP waveforms in response to the target stimulus at FZ, CZ, and PZ electrode sites](#)

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437 P3b results

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438 The P3b amplitude and latency data were calculated as described for the P3a. Descriptive statistics
439 for the mean peak P3b amplitude and mean peak P3b latency are reported in Table 4.

440

441 P3b Amplitude

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442 ~~Analyses violated Mauchly's test of sphericity therefore a Greenhouse-Geisser correction was~~
443 ~~applied. The ANOVA identified a significant main effect of group, [$F(2, 32) = 4.161$, $p < 0.05$] and a site~~
444 ~~\times group interaction, [$F(3.381, 54.095) = 13.886$, $p < 0.001$], on the P3b amplitude.~~

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446 Table 4. Mean peak P3b amplitude (μV) and peak latency (ms) (SD in parentheses) for the
447 WS, CA, and MA groups at FZ, CZ, & PZ electrode sites

	Amplitude			Latency		
	WS	CA	MA	WS	CA	MA
FZ	9.60	9.79	8.01	459.39	429.94	341.85
CZ	(7.29)	(6.14)	(5.23)	(78.90)	(35.23)	(119.49)
PZ	(7.29)7.85	(6.14)4.43	(5.23)15.89	(78.90)486.79	(35.23)459.16	(119.49)437.47
	(7.43)	(7.25)	(9.77)	(47.01)	(62.87)	(124.82)
CZPZ	7.856.38	4.436.22	15.8918.36	486.79429.76	459.16420.59	437.47456.10

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	(6.24) (7.43)	(6.63) (7.25)	(9.69) (9.77)	(82.31) (47.01)	(54.25) (62.87)	(79.87) (124.82)
PZ	6.38 (6.24)	6.22 (6.63)	18.36 (9.69)	429.76 (82.31)	420.59 (54.25)	456.10 (79.87)

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P3b Amplitude

Analyses violated Mauchly's test of sphericity therefore a Greenhouse-Geisser correction was applied. The ANOVA identified a significant main effect of group, [F (2, 32) = 4.161, p<0.05] and a site x group interaction, [F (3.381, 54.095) = 13.886, p<0.001], on the P3b amplitude.

Follow up comparisons using t-tests identified significantly greater peak P3b amplitude in the MA group compared with the WS group at both CZ (t(20) = -2.137, p<0.05, d=0.88) and PZ (t(20) = -3.364, p<0.01, d=0.82), and with the CA group at CZ (t(23) = -3.348, p<0.01, d=-1.40) and PZ, (t(23) = 3.683, p=0.001, d=-1.53-). In addition, the WS group showed no significant difference in peak P3b amplitude between all sites (all p>0.05), whereas the CA group showed significantly greater peak P3b amplitude at FZ compared with CZ (t(12) = 4.156, p=0.001, d=-2.40), FZ compared with PZ (t(12) = 3.075, p=.01, d=-1.78), and an increase in peak amplitude from CZ to PZ which approached significance (t(12) = -2.006, p=0.068, d=-1.15). For the MA group, a significant increase in peak P3b amplitude from both FZ to CZ (t(11) = -3.589, p<0.01, d=-2.16) and FZ to PZ (t(11) = -4.061, p<0.01, d=-2.49) was observed, but no peak amplitude difference between CZ and PZ (t(11) = -1.450, p>0.05).

P3b Latency

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469 The ANOVA found no main effect of group ($p > 0.05$), a significant main effect of site [$F(2, 64) =$
 470 $3.715, p < 0.05$], and a significant site \times group interaction, [$F(4, 64) = 2.942, p < 0.05$], on peak P3b
 471 latency.

472 T-tests revealed significantly delayed peak P3b latency at FZ in the WS group compared with the MA
 473 group ($t(20) = 2.677, p < 0.05, d = 1.09$) and with the CA group ($t(21) = 2.256, p < 0.05, d = -0.98$) but not
 474 between the CA and MA groups ($t(23) = .340, p > 0.05$). There were no group differences in peak P3b
 475 latency at CZ and PZ (all $p > 0.05$).

476

477 Neither the WS nor CA group exhibited any differences in peak P3b latency between sites (FZ/CZ,
 478 CZ/PZ, and FZ/PZ; all $p > 0.05$). In contrast, the MA group showed an increase in latency from FZ to CZ
 479 that approached significance ($t(11) = -2.150, p = 0.06, d = -1.30$), a significant increase from FZ to PZ
 480 ($t(11) = -2.559, p < 0.05, d = -1.54$), but no latency difference between CZ and PZ ($t(11) = -.568,$
 481 $p > 0.05$).

482

483

484 **3.5 Behavioural results**

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485 A one-way ANOVA was applied to the reaction time (RT) data to the target stimulus. There was a
 486 significant main effect of group, [$F(2, 31) = 6.004, p < 0.01$]. Post hoc comparisons revealed the WS
 487 group's RT was significantly slower (mean 500.65ms, SD 64.56) to the target compared with the CA
 488 group (mean 422.36ms, SD 32.76) ($d = 1.52; p = 0.01$), but not the MA group (mean 490.67ms, SD
 489 59.54) ($p > 0.05$). The CA group's RT was also significantly faster than the MA group ($p < 0.05$) showing
 490 an increase in speed of response with age as would be expected. Speed of processing in the WS
 491 group was comparable to their mental age. There was no difference in accuracy in response to the
 492 target, with all groups' performance reaching 100% accuracy. Also there was no significant

493 correlation between behavioural RT and target N2 / P3b latency (all $p > 0.05$) in all three groups
494 across all sites.

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Discussion

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499 The aim of the current study was to investigate the neuro-cognitive mechanisms engaged during the

500 Oddball task in adults with Williams syndrome (WS) as a measure of attentional and inhibitory

501 control. The paradigm is ideally suited to track different aspects of attention and inhibition within

502 one task. By utilising the strengths of ERPs, the data contribute to understanding the EF profile

503 exhibited in the disorder, showing deficits in the early error monitoring processes required for

504 successful inhibition, and a delay in the processing or disengagement of task-irrelevant stimulus. The

505 results tentatively suggest there are atypicalities in relatively earlier and later ERP components in

506 response to the novel stimulus, and dissociation between involuntary and voluntary attentional

507 processing. The main findings were as follows: compared to the CA group, the WS group reported

508 attenuated peak N2 amplitude in response to the novel and target stimuli, an increase in peak P3a

509 latency in response the novel stimulus, and no peak P3b amplitude or peak N2 / P3b latency

510 differences in response to the target stimulus. Therefore the use of ERP methodology in the current

511 study has added to our understanding of the executive profile exhibited by individuals with WS (e.g.

512 cognitive disinhibition ([19, 21, 22]) and which may sub-serve their disproportionate attention to

513 social stimuli ([9, 14, 69]), thus providing a theoretical contribution of the atypicalities in these

514 neural mechanisms.

515

516 Consider first the P3a component related to orientation of attention and inhibition. The P3a

517 amplitude was not particularly informative in terms of the WS group comparison with no significant

difference in P3a amplitude between the WS and control groups, irrespective of site. However, inspection of the scalp distributions identified specific group differences. Indeed, consistent with previous research, both the WS and CA groups reported larger peak amplitude fronto-centrally in response to the novel task-irrelevant stimulus as expected [36, 70], whereas the MA group's data reported a centro-parietal distribution [71]. It could be argued that there is similar response to the distracting task-irrelevant stimuli across groups but the latency data may give further clues to inhibitory deficits in the WS population seen at the behavioural level, e.g. [9, 22].

The WS group reported an overall delay in P3a peak latency, compared to both the CA and MA groups. The amplitude data may therefore be indicative of similar levels of attention during the 'automatic' shift in focus to the distracting novel stimulus with the P3a latency suggestive of longer and inefficient, inappropriate stimulus evaluation. This finding is consistent with the delayed P3a peak latency reported in younger adults with WS [54], and young-middle aged adults with Fragile X syndrome [62]. To be clear, as the amplitude of the P3a is thought to highlight the extent of involuntary shifts in attention [72], the results indicate that adults with WS group have the same neural responsivity to the novel stimulus as age-matched typically developing controls, but report a delay in the neural mechanisms required to automatically detach from one task and refocus attention on an unexpected event. When applied to their behavioural profile, this suggests that inappropriate behavioural actions are likely linked to similar orientation of attention to irrelevant stimuli in the environment but less ability to disengage (see atypicalities of disengagement, but not engagement, to social information [16, 69, 73]). Indeed, with reports of attention disengagement difficulties in toddlers with WS [74], the current study tentatively suggests that this may be a difficulty that is exhibited across the developmental spectrum, though this needs to be verified with both cross-sectional and longitudinal analyses.

The results from the P3b data also highlighted an unusual neural profile, in both the adults with WS and CA matched group. Overall there were no significant differences in P3b peak amplitude between the WS and CA adults; however the CA group reported a significant frontal maximum, whilst the MA group reported an enhanced centro-parietal P3b distribution as expected [475]. An anterior shift in P3b distribution is observed with increasing age in typically developing older individuals (~70+ years) [476], but has also been reported in middle-age (~49 years) [477]. This shift is thought to reflect an increasing age-associated reliance on frontally controlled executive processes during contextual updating, a process which is more automatic in younger individuals [478], thus explains the frontal maximum observed in the CA group. In contrast the WS group reported no significant differences in P3b peak amplitude across the three midline sites. The absence of any P3b differences between the frontal, central, and parietal electrodes analysed in the current study infers a less efficient voluntary attentional processing system to the task-relevant stimulus; alternatively it could reflect the recruitment of a wider range of cortical regions during voluntary attentional processing to compensate for the known abnormalities in WS such as reduced parietal grey matter density [479] and disproportionate decrease in parietal volume ([480] also see [481] for a meta-analysis on dorsal / ventral activity during Oddball paradigms in typical development). Combined with the P3b amplitude profile, the lack of any difference in P3b peak latency between the WS and CA groups in the current study suggests that the Oddball paradigm did not place great demands of sustained attention in our WS cohort, unlike the behavioural data from the SART described elsewhere [422] and which incorporated high *Go* / low *No-Go* methodology. (See [474, 82] for discussions on delineating different aspects of attention between syndromes, due to differences in the domains more or less impaired). Thus our data indicate that, under conditions that do not place great demands on voluntary attentional processes, adults with WS are able to achieve the same behavioural result but through slightly different neural mechanisms. This result is also comparable with adults with ADHD [457], but not younger individuals with ASD who reported delayed P3b peak latency [458].

569

570 The results from both the novel and target N2 component also contribute in elucidating atypicalities
571 in the WS neural profile during involuntary and voluntary attentional processing. The WS group did
572 not demonstrate any localised novel or target N2 distributions, evidenced by non-significant
573 differences in peak N2 amplitude across all three midline sites in both conditions. Furthermore,
574 relative to both the CA and MA controls, the WS group reported significantly reduced frontal novel
575 peak N2 amplitude; and, compared to the CA group, a reduction in both the novel and target peak
576 N2 amplitude at the central site which approached significance. This contrasts with the limited
577 published research documenting the N2 in WS, which highlighted atypically enhanced N2 negativity
578 in response to both upright and inverted faces [483, 841], and in response to repeated faces and
579 houses [434]. However, it is important to emphasise that WS is often associated with a pro-social
580 drive and a fascination for looking at faces; therefore the results reported by Mills and colleagues
581 [483, 841] may reflect the atypical neural profile that delineates their propensity for prolonged face
582 gazing [469] and not the executive deficits under investigation in the current study.

583

584 One theoretical perspective posits that the N2 component in *Go / No-Go* paradigms reflects conflict
585 arising from competition between the execution (target) and the inhibition (novel) of a single
586 response [485]. A larger N2 is typically reported frontally and / or centrally when an overt response
587 needs to be withheld, thus motivated by inhibition of a planned response [486], whereas a reduced
588 novel N2 is indicative of an ongoing propensity to respond [443]. This approach is highly pertinent as
589 the numerically greater N2 amplitude at FZ (CA group) and CZ (MA group) in response to the novel
590 indicates appropriate neural responsivity required for successful inhibition in both typically
591 developing control groups. In contrast, the overall attenuated N2 amplitudes observed in the WS
592 group, especially in response to the novel stimulus, demonstrate deficiencies in earlier components
593 that regulate conflict monitoring processes during *Go/No-Go* discrimination.

594
595 However, there are certain methodological issues to consider with the Oddball paradigm adopted in
596 the current study. Both the N2 and P3a may habituate on repeated exposure to the same stimulus,
597 and this habituation continues into second and ongoing blocks of presentation [487]. Furthermore,
598 the N2 is not influenced by task difficulty; rather it is sensitive to perceptual deviation from the other
599 stimuli [488]. Thus, it is possible that the comparable P3a peak amplitude profile reported by the WS
600 and the CA groups reflects habituation processes, whilst the attenuated novel and target peak N2
601 amplitudes in the WS group are indicative of neuronal dysfunction in perceptually discriminating
602 between the novel and target stimuli from the frequent stimulus, despite object perception being a
603 robust trait [489]. Future research adopting an Oddball paradigm would benefit from including
604 unrepeatd novel stimuli as this could provide a purer P3a response, and more distinct differences
605 between the ~~novel~~ frequent and target stimuli in order to eradicate these possible confounds. It is
606 worthwhile noting that there is much discussion and research on the task parameters that influence
607 the P300 responses (see [436] for discussion). It was important in the present study to use a
608 paradigm that has successfully generated the ERP components of interest and thereby allow indices
609 of attention and inhibition to be compared between individuals with WS and those developing
610 typically.

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612 To the best of our knowledge the Oddball methodology adopted here has not been used to date in
613 research with WS individuals. In conclusion, the adults with WS reported a delay in their involuntary
614 attentional processes, most likely due to earlier processing deficits evidenced by the attenuated
615 novel N2 amplitude. Deficits in the monitoring of task-relevant and irrelevant stimuli appear
616 comprised in WS at this earlier stage of processing. Their atypical target N2 and comparable P3b
617 profile, combined with their behavioural performance reaching ceiling level, indicates that they are
618 able to overcome attentional processing deficits in response to the target stimulus when more
619 effortful voluntary processing is required. We argue that the P3a latency in the present study is a key

index and indicative of inefficient stimulus evaluation and an atypical delay in their involuntary attentional processes, and perhaps also a marker of poor return to the processing of task-relevant stimuli. Due to the heterogeneity of executive processes and myriad measures of inhibitory control further work is warranted using the finding here as the groundwork. Of course, it is highly likely that these attentional and inhibitory atypicalities underlie aspects of not only the cognitive profile of WS but also the behavioural profile we associate with the disorder.

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FIGURES

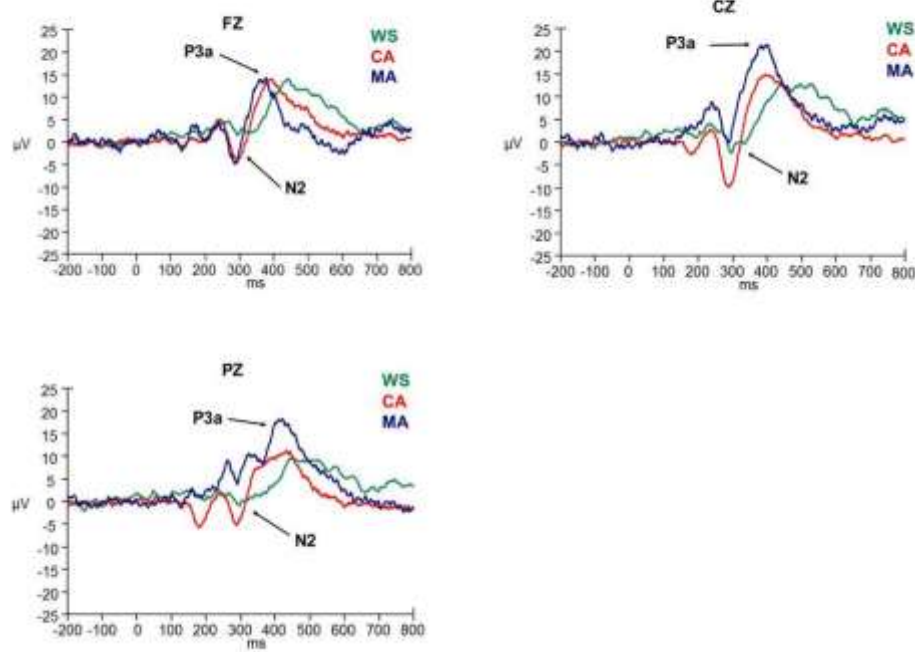


Figure 1: ERP waveforms in response to the novel stimulus at FZ, CZ, and PZ electrode sites

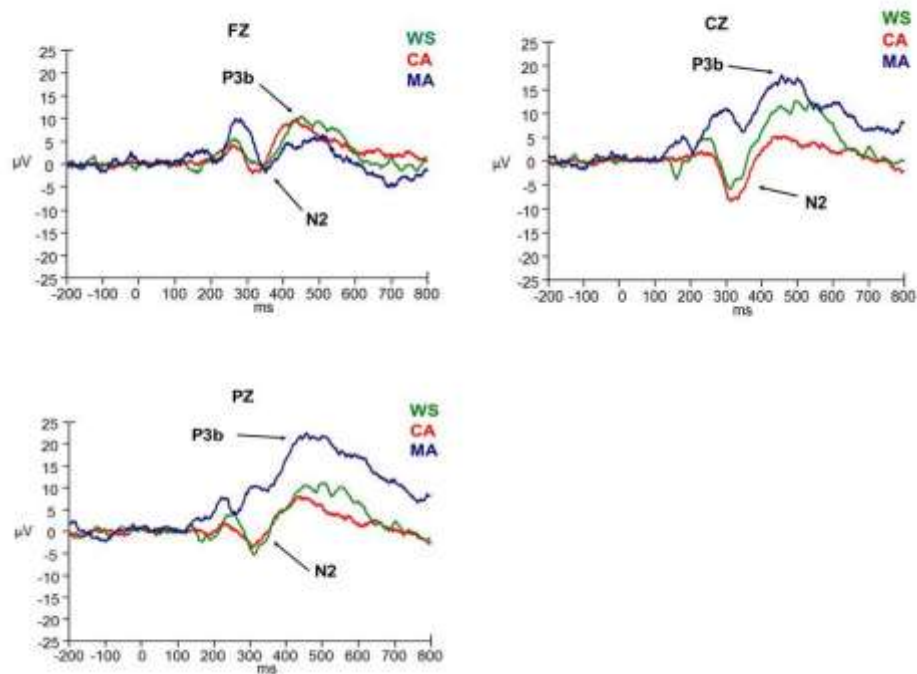


Figure 2: ERP waveforms in response to the target stimulus at FZ, CZ, and PZ electrode sites